

Chitosan: Biocontrol of *Moniliophthora roreri* and a Biostimulant of *Theobroma cacao* L.

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Cocoa has considerable economic significance in Ecuador, playing an important role in agricultural sustainability and the national economy. The phytopathogen *Moniliophthora roreri* is responsible for frosty pod rot disease, which affects this crop. This study evaluated chitosan's role as a biostimulant and its effectiveness in controlling *Moniliophthora roreri* in cocoa cultivation. Five chitosan treatments (0.5, 1, 1.5, 2 g L⁻¹, and a control) were applied, and each treatment was replicated four times. The 2 g L⁻¹ treatment significantly enhanced the number of pods (32.67) and almonds (66.5), along with increases in the fresh (1936.17 g) and dry (724.7 g) weights of the almonds compared to the control and lower chitosan concentrations. It also lowered the incidence and severity of *M. roreri* at 60 120 and 180 days. Using 2 g L⁻¹ of chitosan also slowed down the disease (AUDPC) with a value of 1413.60 compared to the control treatment. These findings show that chitosan could be used as a long-term option in cocoa farming to boost yields and protection to *M. roreri*.

Keywords: Disease progression, incidence, efficiency, severity, yield.

INTRODUCTION

With Nacional and CCN-51 varieties, Ecuador ranks among the top global cocoa producers. These varieties have significant demand in international markets (Saravia-Matus *et al.*, 2020; Salazar *et al.*, 2023). For small- and medium-sized producers in Ecuador's rural areas, cocoa provides a critical income source essential for economic sustainability (Álava *et al.*, 2021; Avadí, 2023). However, these producers currently face substantial challenges due to phytopathogens like *Moniliophthora roreri*, which adversely affect both quality and yield (Gómez-de la Cruz *et al.*, 2023).

In light of these challenges, it is imperative to identify environmentally favorable alternatives that can enhance production (Goudsmit *et al.*, 2023; Torres-Rodriguez *et al.*, 2024a). In this context, chitosan can be an alternative due to its biodegradability (Mohan *et al.*, 2022).

Chitosan can be used as a biocontrol agent as shown by more than one study. Through the interaction of glucosamine's amino groups (NH³⁺) with the components of

microorganisms' cell walls, such as phospholipids in fungi's cell membranes, chitosan inhibits the growth of phytopathogens (Ardean *et al.*, 2021; Torres-Rodriguez *et al.*, 2021). It also helps plants activate their defense systems by producing reactive oxygen species (ROS), phytoalexins, pathogenesis-related (PR) proteins, and defensive enzymes (Suwanchaikasem *et al.*, 2023; Gong *et al.*, 2024).

Chitosan has also been shown to increase plant growth and development. This bipolymer not only helps plants grow and develop faster, but it also increases output by improving things like the number of fruits, their size, and their weight (Gustavo González *et al.*, 2015; Munaro *et al.*, 2024). These positive effects of chitosan on plants are related to the ability of chitosan to increase the activity of enzymes related to the processes of photosynthesis (Sun *et al.*, 2023). Chitosan application has also been shown to increase the uptake of nutrients essential for plant growth and development (Faluku *et al.*, 2024). However, despite the research carried out on chitosan, few works evaluate its effect as a biocontrol agent and biostimulant in cocoa cultivation.

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MATERIALS AND METHODS

Experimental area location: The research was carried out at the El Guineo farm, in the El Tigre district of the Buena Fe canton, with geographic coordinates 0°55'05.2" South latitude and 79°27'36.5" West longitude, at an altitude of 96 meters above mean sea level. This region has a humid tropical climate, average annual temperatures of 25.30 °C, an average annual rainfall of 1,640.30 mm and a relative humidity of 87%.

Genetic material: The highly productive clone CCN-51 was used. This clone has a high percentage of pods per kilogram of dry cocoa. It is an erect plant with a relatively low height that facilitates agricultural activities such as pruning and harvesting (Crespo and Crespo, 1997; Fuentes and Castelblanco, 2011).

Treatment and experimental design: This study evaluated the effects of four chitosan concentrations as biostimulants and biocontrol agents against *M. royeri* in cocoa cultivation, along with a control treatment (no application). A randomized complete block design was used, comprising five treatments with four replications. The treatments included: T1: (0.5 g L⁻¹), T2: (1 g L⁻¹), T3: (1.5 g L⁻¹), T4: (2 g L⁻¹), and T5: (control treatment – water application).

Application method: Chitosan was applied at the onset of flowering when more than 50% of cocoa plants exhibited flowers. Subsequently, applications were made every 30 days, resulting in six applications over a period of 180 days. The application method used a CP3 backpack sprayer with a capacity of 20 L, employing vertical movements of the rod while circling the plant canopy to ensure uniform distribution across the leaf area. The distance between the backpack rod and the plant canopy was approximately 1 m.

Evaluated variables

Production and yield indicators: The number of pods (NP) was recorded for 20 plants per treatment by counting during the evaluation period. To determine the fresh weight of almonds (FWB) in g, 25 pods were randomly selected from the harvested pods of 20 plants per treatment, and all almonds were extracted and weighed using a digital scale (Santorius, 2 Kg±1g). To determine dry weight, almonds were dried in an oven (Memmert, 60 °C, 72 hours) and subsequently weighed until a constant weight was achieved, indicating complete removal of moisture from the samples. Yield was estimated in tons of dry cocoa almonds, calculated as 40% of the fresh weight of cocoa, by multiplying the fresh weight per plant by 0.40 (conversion factor for obtaining dry weight).

Disease incidence (DI) by *M. royeri* in cocoa cultivation: To assess the incidence of disease (DI), the numbers of diseased and healthy pods were counted. DI was calculated as the proportion of infested pods exhibiting disease symptoms, such as spots and necrosis, relative to the total number of pods. Disease incidence was evaluated at 60, 120, and 180

days (Torres-Rodriguez *et al.*, 2024b). The DI percentage was calculated using the following formula:

$$DI = Di/Dh \times 100$$

Where DI = Disease incidence (%); Di = Diseased pods, and Dh = Healthy pods

Severity (SV) of *M. royeri* in cocoa pods: To evaluate the severity of the disease in the pods of each plant, the degree of infection was assessed using the arbitrary scale of 1–5 proposed by Villamil Carvajal (2015), with modifications (Table 1). The severity (SV) of the fruits was evaluated based on visible symptoms such as oily spots, necrosis, and sporulation. Disease severity was assessed at 60, 120, and 180 days.

Table 1. Scale of disease severity in cocoa fruit

Severity scale	Description
0	No apparent symptoms
1	Small and few oily spots
2	Well-defined and abundant oily spots along with more deformation or irregular ripening
3	Necrosis without sporulation
4	Necrosis with sporulation in an area of less than one-fourth of the necrotic surface
5	Necrosis with sporulation in an area greater than one-fourth of the necrotic surface in relation to the percentage of affected tissues on the pod exterior.

To determine the external severity of the infection, the following formula was used:

$$SE (\%) = [\sum (SS \times NS) / (N \times K)] \times 100$$

where SS = Disease scale score (0-5), NS = Number of pods at the score level, N = Total number of pods evaluated, and K = Maximum scale value.

Treatment efficiency: The efficiency of chitosan application against the phytopathogen *M. royeri* in cocoa cultivation was assessed using the following formula proposed by Abbott (1925):

$$E = ((FIWoQ - FIWQ) / FIWoQ) \times 100$$

where E = Efficiency (%); FIWoQ = Disease severity in the control (without chitosan); FIWQ = Disease severity with chitosan application.

Effect of chitosan on the area under the disease progress curve (AUDPC) of *M. royeri* in cocoa: To evaluate the effect of chitosan on the area under the disease progress curve (AUDPC), disease severity was recorded at various time points throughout the experiment, specifically on designated days after sowing (DAS). Severity was quantified using a symptom scale, and these data were subsequently used to calculate the AUDPC using the following formula (Zhao *et al.*, 2012).

$$AUDPC = \sum_{i=1}^{n-1} [(DS_i + DS_{i+1}) / 2] \times (t_{i+1} - t_i)$$



where DS_i = The disease severity value at the specific time point t_i ; DS_{i+1} = The disease severity value at the next time points $i+1$; t_i = Time in specific days after sowing (DAS) at point i ; t_{i+1} = Time in specific days after sowing (DAS) at the next point $i+1$.

Statistical analysis: Data normality was assessed using the Shapiro-Wilk test, whereas homogeneity of variance was verified using the Bartlett test. Data analysis was performed using one-way analysis of variance (ANOVA) using STATISTICA 10.0 software, and Tukey's multiple comparison tests for means were performed, considering a significance level of $p < 0.05$.

RESULTS

Impact of chitosan on the number of cocoa pods: Analysis of variance (ANOVA) showed significant differences in the number of cocoa pods. Chitosan treatment outperformed the control treatment (no application) regarding the number of cocoa pods. The highest outcome was recorded using chitosan treatment 2 g L^{-1} , resulting in a mean of 32.67 pods, which exhibited significant differences ($p < 0.05$) compared to the other treatments. The lowest results were observed with the 1 and 0.5 g L^{-1} treatments; however, both concentrations were higher than the control. The control treatment yielded the lowest number of pods, indicating that chitosan application boosted the number of cocoa pods (Figure 1).

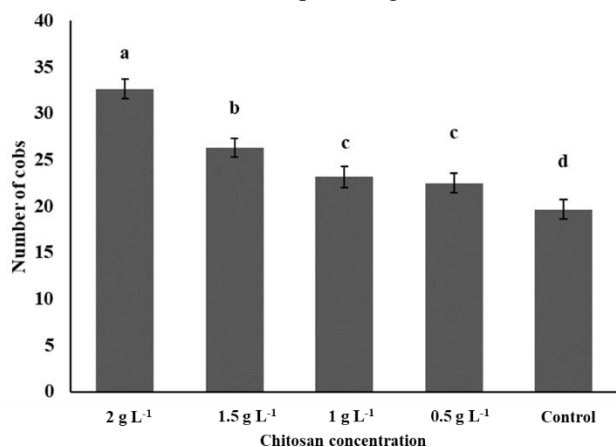


Figure 1. Impact of chitosan on the number of cocoa pods. Different letters in the columns show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation

Impact of chitosan on the number of almonds: The highest concentration of chitosan (2 g L^{-1}), demonstrated the greatest mean number of almonds (averaging 66.5) and exhibited significant differences ($p < 0.05$) compared with the other treatments. A positive correlation was observed between the increase in the chitosan concentration and the number of harvested almonds, indicating the potential beneficial effect

of chitosan on cocoa production. In contrast, control treatment presented the lowest yield, with a mean of 52 almonds. All chitosan treatments significantly increased the number of almonds ($p < 0.05$) compared to the control treatment (Figure 2).

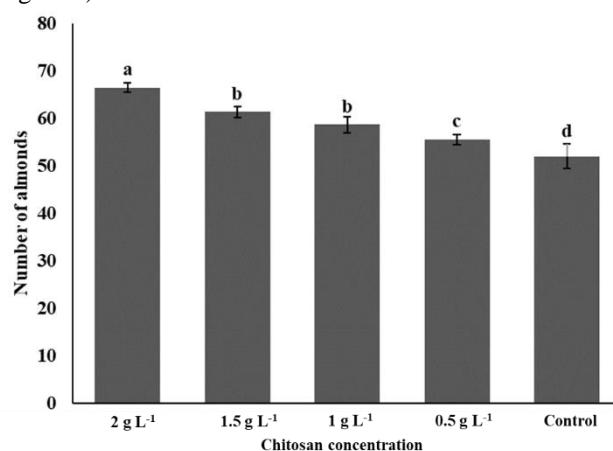


Figure 2. Impact of chitosan on the number of almonds per cocoa pods. Different letters in the columns show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation

Effects of chitosan on the fresh and dry weight of almonds:

The treatment with the highest concentration of chitosan, 2 g L^{-1} , demonstrated the greatest fresh and dry weights of almonds, with means of 1936.17 g and 724.7 g, respectively. Regarding fresh weight, the 2 g L^{-1} treatment exhibited statistically significant differences ($p < 0.05$) compared to the 1 and 0.5 g L^{-1} treatments, while not showing significant differences ($p > 0.05$) with the 1.5 g L^{-1} treatment (Figure 3). The chitosan treatments with lower concentrations of 1 and 0.5 g L^{-1} yielded the lowest fresh and dry weights, with means of 1790 g and 1780.3 g for fresh weight and 639 g and 619.8 g for dry weight, respectively. In control treatment the average fresh weight and dry weight were 1571 g and 526.2 g, respectively. All chitosan treatments resulted in a significant increase ($p < 0.05$) in the fresh and dry weights of harvested almonds compared with the control treatment (Figure 3).

Effects of chitosan on cocoa yield: The application of 2 g L^{-1} chitosan presented the maximum yield (2.45 t ha^{-1}). Chitosan treatment produced a significantly greater yield ($p < 0.05$) than the control group, which had a yield of 0.92 t ha^{-1} (Figure 4). The treatment with the lowest concentration of chitosan, 0.5 g L^{-1} , produced the lowest yield, with a value of 1.59 t ha^{-1} ; however, it did not exhibit differences ($p > 0.05$) with the treatments at 1.5 and 1 g L^{-1} . Treatment with 2 g L^{-1} of chitosan was the most effective treatment, demonstrating the highest yield and showing significant differences ($p < 0.05$) compared with the control treatment (Figure 4).



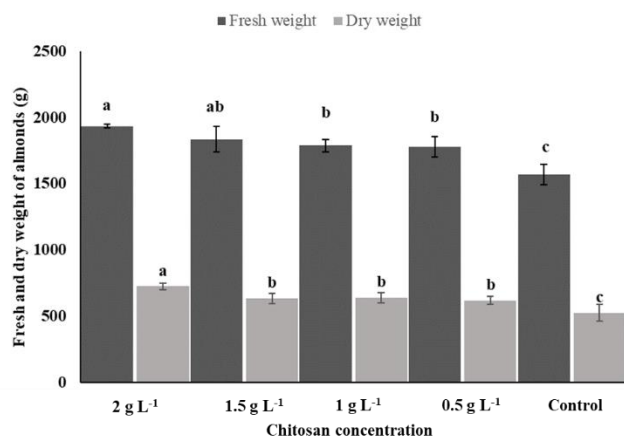


Figure 3. Impact of chitosan on the fresh and dry weight of almonds. Different letters in the columns show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation

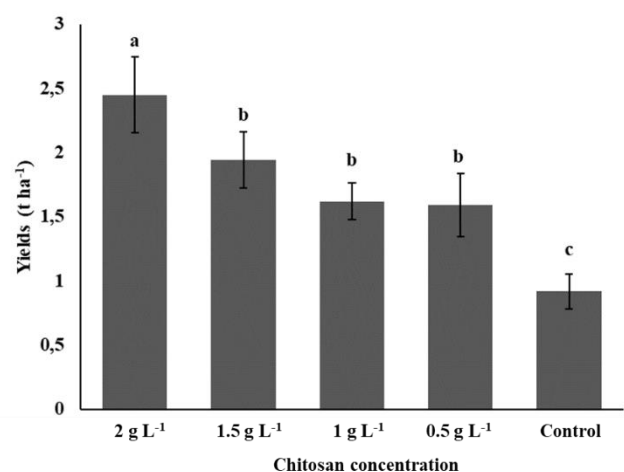


Figure 4. Increase cocoa yield via the application of chitosan. Different letters in the columns show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation

Incidence of *M. roreri* infection in cocoa cultivation:

Regarding the variable incidence of diseases in cocoa crops, ANOVA showed differences ($p < 0.05$) at 60, 120, and 180 days. At 60 days, treatment with 2 g L⁻¹ exhibited the lowest disease incidence, an average of 11.75%, which was significantly different ($p < 0.05$) from the other treatments. The control group presented the highest disease incidence at 60 days, with an average value of 35.68% (Table 2).

At both 120 and 180 days, the tendency observed at 60 days continued, with the 2 g L⁻¹ treatment showing the lowest disease incidences of 14.81 and 21.45%, respectively. The control treatment had the highest disease incidence at both 120 and 180 days, reaching 70.35% by 180 days. All chitosan

treatments effectively reduced disease incidence compared with the control treatment (Table 2).

Table 2. Incidence of *M. roreri* disease at 60, 120, and 180 days after chitosan application.

Treatments	Disease Incidence (%)		
	60-DD	120-DD	180-DD
2 g L ⁻¹	11.75±1.36a	14.81±1.41a	21.45±0.69a
1.5 g L ⁻¹	15.21±0.59b	18.35±1.27b	26.62±1.03b
1 g L ⁻¹	18.75±2.52c	22.32±1.65c	30.28±1.50c
0.5 g L ⁻¹	21.47±1.38c	25.92±1.34d	34.83±1.36d
Control	35.68±1.93d	42.40±2.13e	70.35±1.32e

Different letters in the columns show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation.

Severity of *M. roreri* in cocoa cultivation: The variable of disease severity caused by *M. roreri* in cocoa cultivation at 60, 120, and 180 days demonstrated significant differences with respect to chitosan treatment ($p < 0.05$). At all three time points, the 2 g L⁻¹ treatment exhibited the lowest severity, which did not show significant differences ($p > 0.05$) compared with the 1.5 g L⁻¹ treatment, but did differ significantly from the other treatments. The control treatment exhibited the highest severity on the evaluated days (60, 120, and 180), reaching a severity level of 70.02% (Table 3).

At 180 days, the most effective treatments for reducing disease severity were those at 2 and 1.5 g L⁻¹, which did not differ significantly ($p > 0.05$) from one another. However, the 1.5 g L⁻¹ treatment did not significantly differ ($p > 0.05$) from the 1 g L⁻¹ treatment. Chitosan treatment at all three time points (60, 120, and 180 days) effectively reduced the severity of *M. roreri* in cocoa cultivation compared with control treatment (Table 3).

Table 3. Severity of *M. roreri* at 60, 120, and 180 days after chitosan application.

Treatments	Disease Severity (%)		
	60-DD	120-DD	180-DD
2 g L ⁻¹	6.45±1.00a	11.14±0.94a	18.39±1.06a
1.5 g L ⁻¹	8.75±1.04ab	14.30±2.51ab	21.85±2.69ab
1 g L ⁻¹	10.66±1.49bc	16.33±3.86bc	24.99±6.04b
0.5 g L ⁻¹	13.28±1.98c	19.22±2.10c	34.38±0.99c
Control	±2.38d	39.22±2.48d	70.02±1.32d

Different letters in the columns show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation.

Efficiency of chitosan as a biocontrol: At all three time points, treatment with 2 g L⁻¹ concentration (73.65%) did not exhibit differences ($p > 0.05$) to treatment with 1.5 g L⁻¹ concentration; however, it was significantly superior ($p < 0.05$) to treatment with 1 and 0.5 g L⁻¹ concentrations. At 120 days, the efficiency remained consistent across the various chitosan concentrations, with the 0.5 g L⁻¹ being the least effective, maintaining an efficiency of 50%. By 180 days, a



tendency toward increased biocontrol efficiency was observed for all treatments. The 2 g L⁻¹ concentration exhibited the highest efficiency (73.73%), whereas the 0.5 g L⁻¹ continued to be the least efficient, with an efficiency of 50.99% (Figure 5).

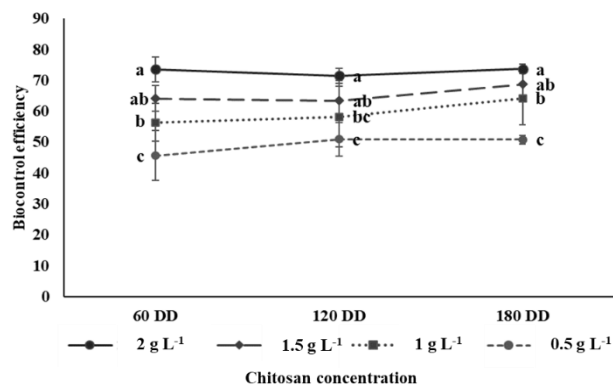


Figure 5. Efficiency of chitosan as a biocontrol agent against *M. royeri* at 60, 120, and 180 days. Different letters on the lines show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation.

The results indicate significant differences in the AUDPC among the treatments ($p < 0.05$). The treatment with 2 g L⁻¹ exhibited the lowest AUDPC value of 1,413.60, signifying the highest effectiveness in reducing disease severity. Treatment with 1 g L⁻¹ yielded an AUDPC of 2049.40 and did not differ significantly ($p > 0.05$) from 1.5 g L⁻¹, indicating similar efficacy. The 0.5 g L⁻¹ treatment led to an AUDPC of 2,582.90, suggesting lower efficacy compared with higher concentrations. The control group, which did not receive chitosan, exhibited the highest AUDPC of 5,187.90, reflecting the greatest disease severity (Figure 6).

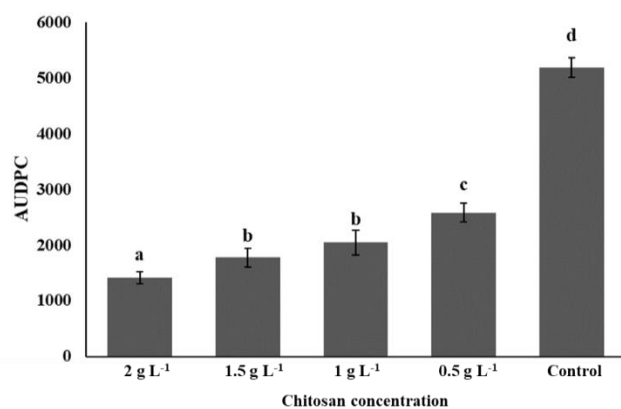


Figure 6. Impact of chitosan on the area under the disease progress curve (AUDPC) of *M. royeri* in cocoa. Different letters in the columns show significant differences according to Tukey's test ($p \leq 0.05$). \pm Standard deviation

DISCUSSION

Chitosan as a biostimulant: The best results in the yield indicators in this research were obtained with the highest concentration of chitosan. Pincay-Manzaba *et al.* (2021) reported similar results in tomato cultivation, the authors showed that the highest chitosan concentration increased the crop yield.

Chitosan has been shown to improve yield and its components. As shown by Reyes-Pérez *et al.* (2020), adding chitosan to tomato plants made them make more fruits per plant. Increasing output components is something chitosan can do because it helps plants take in nutrients better and activate their defense mechanisms (Rivas-García *et al.*, 2021). This study adding chitosan made the weight of both fresh and dry almonds go up by 123% and 137%, respectively. It's likely that these biostimulant effects are linked to chitosan's ability to help fruit tissue expand which makes the fruit bigger (Chakraborty *et al.*, 2020). Similar results to those obtained in this work were reported by Chanaluisa-Saltos *et al.* (2022), the authors found that the application of chitosan increased the fresh and dry weight of tomato fruits.

Chitosan has also been shown to have biostimulant effects in cocoa farming, such as increasing the development of vegetative structures in clones (Reyes-Pérez *et al.*, 2021), reduced germination time, increased germination percentage (Tayo *et al.*, 2017), improved morphophysiological parameters and promoted rooting (Reyes-Pérez *et al.*, 2023). Chitosan can enhance the uptake of essential nutrients how well plants, such as nitrogen, phosphorus, and potassium. It can also raise the amount of chlorophyll and optimize the process of photosynthesis (Sharif *et al.*, 2018; Shahrajabian *et al.*, 2021). The increase in photosynthetic rate has been reported by Nguyen Van *et al.* (2013). The writers showed that chitosan could raise the amount of chlorophyll in coffee plants and optimize the process of photosynthesis. This rise in photosynthesis makes more energy available for plants to grow and develop (Salachna and Zawadzinska, 2014).

We found that adding chitosan to the cocoa crop increased the yield. The best results in the increase of yields were obtained with the concentration of (2 g L⁻¹). These results are in correspondence with those obtained by the authors Rivas-García *et al.* (2021) and Chanaluisa-Saltos *et al.* (2022) in the tomato crop, the authors also demonstrated that chitosan is an option to increase yields, both authors obtained the highest yields with the highest concentrations of chitosan.

Chitosan as a biocontrol agent against *M. royeri* in cocoa: All chitosan treatments reduced the incidence and severity of *M. royeri*, demonstrating the potential of chitosan as a biocontrol agent. Incidence and severity were assessed at three-time intervals, at 60, 120 and 180 days, at all times the concentration of (2 g L⁻¹) showed the greatest reduction of the disease.



Chitosan can reduce the incidence and severity of *M. rorei* through different mechanisms of action, such as the direct inhibition of the growth of the phytopathogen. This occurs through the interaction of the amino groups of chitosan with the components of the cell walls of phytopathogens, which causes a rupture of the cell wall and the release of intracellular components, causing cell death (Verlee *et al.*, 2017; Tantala *et al.*, 2019). Another mechanism is the induction of defense responses in plants and fruits. This biopolymer induces the production of phytoalexins, hypersensitive responses (HR), defense enzymes, the deposition of callose and pathogenesis-related (PR) proteins (de Lamo *et al.*, 2021; Torres Rodriguez *et al.*, 2021).

These effects were demonstrated in cocoa cultivation by Tayo *et al.* (2017), the authors showed that chitosan reduced the severity and incidence of pod rot caused by the phytopathogen *Phytophthora megakarya*. The application of chitosan in cocoa crops reduced the severity and incidence of pod rot caused by the phytopathogen *Phytophthora megakarya* (Tayo *et al.*, 2017), results similar to those obtained in this work, but with the phytopathogen *M. rorei*. In addition, in another research we conducted with chitosan, we found similar results to those of this work, the highest concentration of chitosan (3 g L⁻¹) presented the best results in reducing the incidence and severity of fruit rot caused by *F. oxysporum* (Torres-Rodriguez *et al.*, 2024c).

The application of chitosan in coffee crops reduced the severity of rust; the authors demonstrated that plants treated with chitosan presented only 0.2% damage to the leaves, however, the control treatment presented more than 20% damage (Silva-Castro *et al.*, 2018). Also, the highest concentration of chitosan (4 g L⁻¹) in potato crops reduced the severity of rot caused by *Fusarium* species by more than 50% (Mejdoub-Trabelsi *et al.*, 2020).

All chitosan treatments in this study reduced the incidence and severity of the disease compared to the control treatment, with the best results obtained with the highest concentration (2 g L⁻¹).

According to the results, this might be because chitosan to inhibit the synthesis of messenger RNA and essential proteins in the cells of phytopathogens (Chopra and Ruhi, 2016; Ke *et al.*, 2021). It could also be because chitosan can hold on to metal ions and nutrients such as zinc, copper, cobalt, manganese, nickel, and cadmium, phytopathogenic fungi need them to grow and develop (Kakaei and Shahbazi, 2016; Divya *et al.*, 2017).

In this research, the concentration of (2 g L⁻¹) of chitosan was the most efficient in reducing the disease. The area under the disease progression curves (AUDPC) was also the lowest in this case, indicating a slower spread of the disease.

Conclusions: This study demonstrates that chitosan application effectively enhances cacao crop yields and reduces the incidence of frosty pod rot disease. Notably, the

2 g L⁻¹ treatment yielded the most favorable outcomes, increasing pod production and significantly lowering disease severity at the 60, 120, and 180-day intervals. These results underline the value of chitosan as a biological alternative to synthetic fungicides, which favours more sustainable and ecologically responsible agricultural practices.

Authors contributions statement: Conceptualization: J.A. Torres-Rodriguez., L.G. Hernandez Montiel and J.J. Reyes-Pérez; methodology: J.A. Torres-Rodriguez, L.G. Hernandez Montiel and J.J. Reyes-Pérez.; validation: P. Preciado Rangel and G. J. Medel-Rodriguez.; writing, J.A. Torres-Rodriguez, K. N. Factos Laiño and G. J. Medel-Rodriguez; review and editing: P. Preciado Rangel; K. N. Factos Laiño and G. J. Medel-Rodriguez; visualization: J.A. Torres-Rodriguez., L.G. Hernandez Montiel; supervision, P. Preciado Rangel; K. N. Factos Laiño and G. J. Medel-Rodriguez; J.A. Torres-Rodriguez and L.G. Hernandez Montiel reviewed and finalized the draft.

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SDGs addressed: No Poverty, Zero Hunger.

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